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|   | Application Number         |       | 09783726    |  |
|---|----------------------------|-------|-------------|--|
|   | Filing Date                |       | 2001-02-14  |  |
| INFORMATION DISCLOSURE  | First Named Inventor Mihal |       | I Lazaridis |  |
| STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Art Unit                   |       | 2448        |  |
| (Not for auditiosion under or of K 1100)                      | Examiner Name              | Aaron | N. Strange  |  |
|   | Attorney Docket Number     |       | 1400-1072D2 |  |

| U.S.PATENTS  |            |                             |                                |                 |                           |                               |                                    |  |  |            |
|--|------------|-----------------------------|--------------------------------|-----------------|---------------------------|-------------------------------|------------------------------------|--|--|------------|
| Examiner<br>Initial*   | Cite<br>No | Patent Number               | Kind<br>Code <sup>1</sup>      | Issue D         | ate                       | Name of Pate<br>of cited Docu | entee or Applicant<br>ment         | Releva   | Columns,Lines where<br>int Passages or Relev<br>s Appear |            |
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| Examiner<br>Initial*   | Cite<br>No | Foreign Document<br>Number³ | Country<br>Code <sup>2</sup> i |                 | Kind<br>Code <sup>4</sup> | Publication<br>Date           | Name of Patentee or Where Relevant |  | Passages or Relevant                                     | <b>T</b> 5 |
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| 1  | United States District Court Southern District of New York, Notice of Motion, Document 14, Mahmood v. Research in Motion Ltd., Case 1:12-cv-00899-KBF, Filed June 14, 2012, 2 Pgs.   |  |
|----|--|--|
| 2  | United States District Court Southern District of New York, Memorandum of Law of Defendant Research in Motion Limited in Support of Its Motion to Dismiss the Complaint, Document 15, Mahmood v. Research in Motion Ltd., Case 1:12-cv-00899-KBF, Filed June 14, 2012, 32 Pgs.                         |  |
| 3  | United States District Court Southern District of New York, Declaration of Michael S. Feldberg, Document 16, Mahmood v. Research in Motion Ltd., Case 1:12-cv-00899-KBF, Filed June 14, 2012, 2 Pgs.   |  |
| 4  | United States District Court Southern District of New York, Plaintiff Tahir Mahmood's Memorandum of Law in Opposition to Defendant Research in Motion Limited's Motion to Dismiss the Complaint, Document 18, Mahmood v. Research in Motion Ltd., Case 1:12-cv-00899-KBF, Filed June 28, 2012, 32 Pgs. |  |
| 5  | United States District Court Southern District of New York, Reply Memorandum of Law of Defendant Research in Motion Limited in Further Support of Its Motion to Dismiss, Document 19, Mahmood v. Research in Motion Ltd., Case 1:12-cv-00899-KBF, Filed July 9, 2012, 15 Pgs.                          |  |
| 6  | United States District Court Southern District of New York, Opinion and Order, Document 22, Mahmood v. Research in Motion Ltd., Case 1:12-cv-00899-KBF, Filed Oct. 25, 2012, 15 Pgs.   |  |
| 7  | .CIPO, Office Action, Application No. 2,511,594, April 22, 2008, 2 pgs.  |  |
| 8  | CIPO, Office Action, Application No. 2,511,594, Feb. 3, 2009, 4 pgs.   |  |
| 9  | CIPO, Office Action, Application No. 2,511,594, Nov. 24, 2009, 4 pgs.  |  |
| 10 | CIPO, Office Action, Application No. 2,511,594, Nov. 29, 2010, 4 pgs.  |  |
| 11 | CIPO, Office Action, Application No. 2,511,594, May 2, 2011, 5 pgs   |  |

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|  | 12   | CIPO, Office Action, Application No. 2,511,594, Dec. 27, 2012, 3 pgs.  |  |       |  |  |  |
|--|--|--|--|-------|--|--|--|
|  | 13   | EPO, Communication Under Rule 71(3) EPC: Intention to Grant, Application No. 03003769.1, Oct. 10, 2012, 7 pgs. |  |       |  |  |  |
|  | 14 USPTO, Office Action, Application No. 10/207,418, Oct. 4, 2012, 20 pgs. |  |  |       |  |  |  |
|  | USPTO, Office Action, Application No. 10/207,418, Dec. 5, 2012, 20 Pgs.    |  |  |       |  |  |  |
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| <sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached. |  |  |  |       |  |  |  |

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|   | CERTIFICATION STATEMENT   |   |    |            |  |  |  |  |  |
|---|---|---|----|------------|--|--|--|--|--|
| Plea  | Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):   |   |    |            |  |  |  |  |  |
|   | That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).   |   |    |            |  |  |  |  |  |
| OR  |   |   |    |            |  |  |  |  |  |
|   | That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2). |   |    |            |  |  |  |  |  |
| $\boxtimes$   | See attached ce   | rtification statement.                      |    |            |  |  |  |  |  |
|   | Fee set forth in 3  | 37 CFR 1.17 (p) has been submitted herewith | 1. |            |  |  |  |  |  |
| None  |   |   |    |            |  |  |  |  |  |
| SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the |   |   |    |            |  |  |  |  |  |
| form of the signature.  |   |   |    |            |  |  |  |  |  |
| Signature Date (YYYY-MM-DD) 2013-01-  |   |   |    | 2013-01-25 |  |  |  |  |  |
| Nam   | Name/Print Shreen K. Danamraj Registration Number 41,696  |   |    |            |  |  |  |  |  |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**